

01-31-01

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Name: J. J. PAUL  
Signature: J. J. PAUL  
Clifford Chance Rogers & Wells LLP

01/30/01  
JCS/US/PTO  
Docket No. 3499-93

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT APPLICATION TRANSMITTAL**

JCS/US/PTO  
01/30/01  
09/772427  
01/30/01

COMMISSIONER OF PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is a utility patent application

Of: David Lawrence

For: **AUTOMATED POLITICAL RISK MANAGEMENT**

ENCLOSED are the following:

1.  Specification, abstract and claims (19 pages)
2.  5 sheets of  formal  informal drawings (Figs. 1-5)  
Suggested Figure for Publication: \_\_\_\_\_
3.  Declaration  
 signed  unsigned  
 Copy from a prior application (for continuation/divisional)
4.  Application Data Sheet
5.  Preliminary Amendment
6.  Information Disclosure Statement and PTO-1449
7.  Assignment and Assignment Recordation sheet (*Fee calculated separately*)
8.  The prior application is assigned to: \_\_\_\_\_.  
The assignment was recorded on \_\_\_\_\_ at reel \_\_\_\_\_ and frame \_\_\_\_\_.  
 A copy of the assignment from the prior application is enclosed.

9.  Non-Publication Request
10.  Other:

**NOTE the following:**

11.  This application is made under 37 C.F.R. § 1.53 (b) and is a  
 Continuation  Continuation-In-Part  Divisional

of Prior Application No. \_\_\_\_\_ filed \_\_\_\_\_

12.  An Extension of time is filed concurrently herewith for the parent application.

13. Small Entity Status:

Applicant is a small entity

Small entity status claimed in the parent application is no longer proper

14.  Applicants claim priority from the following applications(s) under 35 U.S.C. § 119:

15.  Cancel claims \_\_\_\_\_ prior to calculation of the filing fee.

**FILING FEE:** calculated below (after accounting for any preliminary amendment or claims cancellations if noted above):

| Basic Filing Fee                  |                             |                        | \$710.00 |
|-----------------------------------|-----------------------------|------------------------|----------|
| Total No. Claims<br>23            | Extra Claims(Total-20)<br>3 | Fee / claim<br>\$18.00 | 54.00    |
| Total No. Independent Claims<br>5 | Extra Independ.(No.-3)<br>2 | Fee / claim<br>\$80.00 | \$160.00 |
| Multiple dependent claim?         | + \$280                     |                        | \$0      |
| <b>Sub-Total</b>                  |                             |                        | \$924.00 |
| Small Entity?                     | less 50%                    |                        | -0.00    |
| <b>TOTAL FEE DUE</b>              |                             |                        | \$924.00 |

16.  A check in the amount of is enclosed (must at least cover the basic fee).

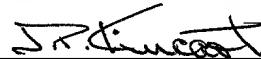
The Commissioner is authorized to charge \$924.00 in connection with this communication to Deposit Account No. 50-0521.

The Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 50-0521.

A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: 1-30-01

  
\_\_\_\_\_  
Joseph P. Kincart  
Reg. No. 43,716

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